

ACCESS TO INFORMATION & PUBLICATION SCHEME

Introduction

St Francis Xavier College is committed to making as much Information about College activities as possible generally available to the public either through published documents (including those published on the College website), or on request.

Freedom of Information Act 2000: Publication Scheme

Adopting a publication scheme is a requirement of Section 19 of the Freedom of Information Act (FOIA) 2000. The purpose of the Act is to promote greater openness by public authorities, which include further education colleges.

St Francis Xavier College has adopted the Model Publication Scheme produced by the Information Commissioner's Office for further education colleges in October 2008 (revised v 1.1 20130901 and FOIA Definition document for colleges of further education v 3 20130822). The Scheme provides a description of the "classes" or types of information that the Information Commissioner expects colleges of further education to make available. The Model Publication Scheme is attached as Appendix 1 to this policy document.

In addition to the model scheme organisations are obliged to produce a guide to the specific information held under each of the classes of information identified in the scheme: this guide is attached as Appendix 2 to this policy document.

How to access information

St Francis Xavier College will make available information it holds whether or not listed in the Guide to the Publication Scheme unless identified as not available under one of the exemptions provided for by the legislation. Any documents routinely available to the public are noted in the Guide in the descriptions of the different classes of information.

Some documents covered by the scheme are published in electronic format via the College's website at www.sfx.ac.uk or other websites as indicated. Other documents are only available in hard copy and will be provided on request.

We ask that requests are made in writing and a response will normally be made within 20 working days. A Freedom of Information Request Form is attached to this policy as appendix 3.

Charging Policy

Printed information on courses and services offered by the College is available free of charge, as are packs issued to people responding to notices of job vacancies. If requested, the College will produce publications and documents in other formats where it is reasonably practical to do so. For items not routinely available on request free of charge, or for items in other requested formats which incur additional cost, the College will usually make a charge. The charge will normally comprise the cost of photocopying or scanning (current photocopying charges and scanning charges are each 5p per page) or the direct cost of putting the information into other requested formats, plus postage if applicable. In certain circumstances the College may waive the fee at its absolute discretion.

Contact and Complaints

The contact for requests for documents, questions, comments or complaints about this policy or the publication scheme is:

Eleanor Lewis Clerk to the Governors St Francis Xavier College 10 Malwood Road London SW12 8EN

Tel: 020 8772 6062 (direct line)

Email: e.lewis@sfx.ac.uk

Complaints outside the College

The College will do everything in its power to meet enquirers' information needs. However, if the College is unable to resolve a complaint, enquirers have the right to complain to the Office of the Information Commissioner, the independent body which oversees the implementation of the Freedom of Information Act. The address is:

ico.org.uk

Information Commissioner
Wycliffe House
Water Lane
Wilmslow
Cheshire
SK9 5AF



APPENDIX 1: ST FRANCIS XAVIER COLLEGE PUBLICATION SCHEME

MAIN CLASSES OF INFORMATION

- 1. Who we are and what we do
- 2. What we spend and how we spend it
- 3. What our priorities are and how we are doing
- 4. How we make decisions
- 5. Our policies and procedures
- 6. Lists and registers
- 7. The services we offer



Appendix 1

Model Publication Scheme for Further Education Colleges (Information Commissioner's Office October 2008 revised v 3 20130822) Descriptions of the 7 Main Information Classes & Sub-Classes

1 Who we are and what we do Current information on the College, structures, locations and contacts		
Sub cla		Explanatory Notes
1.1	Legal Framework	Information relating to the legal and corporate status of the institution
1.2	How the institution is organised	Information about the management structure of the institution, including a description of the Statutory Bodies and the organisational structure together with a description of the work of each unit and the names and responsibilities of key personnel. It is also expected that terms of reference, membership and description of all boards and committees would be provided under this heading. It should include department structures and identify senior personnel.
1.3	Lists of and information relating to organisations it works in partnership with and any companies wholly or partially owned by it	On the basis that most of these bodies will be responsible for their own affairs, it is expected that this information need be only sufficient for the purposes of identifying the relationship between these bodies (such as business, the professions and the community) and the College.
1.4	Location and contact details	If possible, named contacts should be given in addition to contact phone numbers and email addresses.
1.5	Student activities	Information relating to the operation and activities of the Student Union and other clubs, associations and non-academic activities that are organised for or by the students can also be included where this information is held by the college.
Financ contra	2 What we spend and how we spend it Financial information relating to projected and actual income and expenditure, procurement, contracts and financial audit. It is expected as a minimum that financial information for the current and previous two financial years should be available.	
Sub cla	asses	Explanatory Notes

Updated Jan 2013, April 2014 & Nov 2017



2.1	Funding/income	Information on the sources of funding and income, such as funding grants, tuition fees, endowment, rents and investment income (including investment strategy), as well as income generation schemes.
2.2	Budgetary and account information; expenditure	Annual statement of accounts and other information to allow the public to see where money is being spent, where it is or has been planned to spend it and the difference between one and another. It is expected that revenue budgets and budgets for capital expenditure will be included.
		Details of items of expenditure over £15,000 including costs, supplier and transaction information. This should be published at least annually and, where practical, we would also expect it to be published on a half yearly or quarterly basis.
2.3	Financial audit reports	
2.4	Capital programme	Information on major plans for capital expenditure including any private finance initiative and public/private partnership contracts.
2.5	Financial regulations and procedures	
2.6	Pay policy	The statement of the College's policy and procedures regarding staff pay.
2.7	Staff pay and grading structures	This may be provided as part of the organisational structure and should include, as a minimum, the salaries for senior staff, which for the purposes of this document, means staff on Senior Management/Leadership Team or senior post holders or equivalent level, or above, whose basic actual salary is at least £60,000 per annum. The salaries should be stated in bands of £10,000. For more junior posts, levels of pay should be indicated by salary range.
2.8	Staff allowances and expenses	Details of the allowances and expenses that can be incurred or claimed. It should include the total of the allowances and expenses paid to individual senior staff members (as defined above) by reference to categories. These policies should be produced in line with the College's policies, practices and procedures and must at least include travel, subsistence and accommodation.
2.9	Governors' allowances	Details of allowances or expenses that can be claimed or incurred, And a record of total payments made to individual governors.
2.10	Register of suppliers	
2.11	Procurement and tender procedures and reports	Details of procedures used for the acquisition of goods and services. Contracts available for public tender and reports of successful tenders.

Updated Jan 2013, April 2014 & Nov 2017



2.12	Contracts	It is expected normally that it should be necessary only to publish
		details of contracts that are of sufficient size to have gone through
		a formal tendering process.

3 What our priorities are and how we are doing

Strategies and plans, performance indicators, audits, inspections and reviews.

It is expected that information in this class will be available at least for the current and previous three years.

Below is a list of the type of information that It is expected that colleges of further education will have readily available for publication. Any other reports or recorded information demonstrating the college's planned or actual performance should normally be included.

Sub classes		Explanatory Notes
3.1	Annual Report	
3.2	Self-assessment report	
3.3	Corporate and Business Plans	
3.4	Teaching & Learning Strategy	
3.5	Academic Quality & Standards	
3.6	Most recent Ofsted inspection report	
3.7	Privacy impact assessments (in full or summary format)	
3.8	External Review Information	This will include information such as the annual monitoring and review process together with a statement of roles, responsibilities and authority of different bodies within the institution involved in programme approval and review.
3.9	Corporate Relations	Information relating to the College's links with employers and sponsors, in both the public and private sectors and the development of learning programmes.



3.10	Government &	For example accreditation and monitoring reports by professional,
	Regulatory Reports	statutory or regulatory bodies and information that an institution is
		legally obliged to make available to its funding and/or monitoring
		bodies. Where information provided to such bodies is publicly
		available, the College may instead provide a direct link to that
		information.

4 How we make decisions

Decision making processes and records of decisions.

It is expected that information in this class will be available at least for the current and previous three years.

Sub classes		Explanatory Notes
4.1	Minutes, agendas and papers from governing body, council, academic boards and committees	It is expected that minutes of meetings where key decisions are made about the operation of the college, excluding material that is properly considered to be private, will be readily available to the public. These may include, but are not limited to, such items as teaching and learning committee minutes, minutes of staff / student consultation meetings and appointment committees.

5 Our policies and procedures

Current written protocols, policies and procedures for delivering our services and responsibilities.

It is expected that information in this class will be current information only.

Sub classes		Explanatory Notes
5.1	Policies and procedures for conducting College business	Codes of practice, memoranda of understanding, procedural rules, standing orders and similar information should be included. Procedures for handling requests for information should be included.
5.2	Procedures and policies relating to academic services	Some of these policies may already be covered in class 3 'What our priorities are and how we are doing' in the context of external review and academic quality and standards. Additional policies under this heading may include such matters as policies and procedures relating to changing course, regulations and policy on student assessment, appeal procedures and policy on breach of assessment regulations.
5.3	Procedures and policies relating to student services	This will include relevant policies and procedures as they apply, for example, to student admission and registration, accommodation, management of the student records system, internal student complaints and appeals, student support services and code of student discipline.



5.4	Procedures and policies relating to human resources	This will include the full range of human resources policies and procedures such as generic terms and conditions of employment, collective bargaining and consultation with trade unions, grievance, disciplinary, harassment and bullying, public interest disclosure, staff development (such as induction, probation, appraisal, promotions).	
5.5	Procedures and policies relating to recruitment	If vacancies are advertised as part of recruitment policies, details of current vacancies will be readily available.	
5.6	Code of Conduct for members of governing bodies		
5.7	Equality and Diversity policies; Equality Scheme	This will also include policies, statements, procedures and guidelines relating to equal opportunities.	
5.8	Health and Safety		
5.9	Estate management	This will include disposals policy, estates strategy and plan, facilities management policies, grounds and building maintenance.	
5.10	Complaints policies and procedures	Complaints procedures will include those covering requests for information and operating the publication scheme.	
5.11	Records management and personal data policies	This will include information security policies, records retention and archive policies, and data protection (including data sharing) policies.	
5.12	Fileplans	n/a	
5.13	Charging regimes and policies	Details of any statutory charging regimes should be provided. Charging policies should include charges made for information routinely published and clearly state what costs are to be recovered together with the basis on which they are made and how they are calculated. If the College charges a fee for licensing the re-use of datasets, it should state in its guide to information how this is calculated and whether the charge is made under the Re-use Fees Regulations or under other legislation. It cannot charge a re-use fee if it makes the datasets available for re-use under the Open Government Licence.	
	6 Lists and Registers It is expected that this information will be contained only in currently maintained lists and registers.		
Sub cla	Sub classes Explanatory Notes		

6.1	Any information we are currently legally required to hold in publicly available registers	
6.2	Asset registers	It is not expected that colleges will publish all details from all asset registers. It is expected, however, that the location of public land and building assets and key attribute information that is normally recorded on an asset register would be available, along with some other information from capital asset registers.
6.3	ССТУ	Details of the location of any overt CCTV surveillance cameras operated by or on behalf of the College. The College should decide on the level of detail which is appropriate. This could be by building or more general geographic locations eg postcodes or partial postcodes, depending on the security issues raised.
6.4	Disclosure logs	Where a college produces a disclosure log indicating the information that has been provided in response to requests it should be readily available. Disclosure logs are themselves recommended as good practice.
6.5	Any register of interests kept in the College	
6.6	Senior staff's declaration of interest	This should include the names, departments and job titles of all senior staff who have made entries. The definition of senior staff is as stated above.
6.7	Register of gifts and hospitality provided to senior staff	This should include details of gifts given or received; details of any hospitality afforded and by which organisation.

7 The services we offer

Information about the services we offer, including leaflets, guidance and newsletters.

Generally this is an extension of part of the first class of information. While the first class provides information on the roles and responsibilities of the college, this class includes details of the services which are provided by the college as a result of them. It will also relate to information covered in other classes. Examples of other services that could be included are:

Sub cl	asses	Explanatory Notes
7.1	Prospectus and course	
	content	
7.2	Services for outside	
	bodies	
7.3	Health including	
	medical services	



7.4	Welfare and	
' ' '	Counselling services	
7.5	Funding, such as	
	grants, bursaries and	
	free meals, available to	
	students from the	
	College	
7.6	Careers Advice	
7.7	Chaplaincy Services	
	and multi-faith	
	provision	
7.8	Services for which the	
	College is entitled to	
	recover a fee (together	
	with those fees)	
7.9	Sports & recreational	
	facilities	
7.10	Facilities relating to	
	music, art & other	
	cultural activities	
7.11	Museums, libraries,	It is expected that this will include guides to collections and scope
	special collections and	and availability of catalogues. (Further guidance is available in that
	archives	provided for bodies responsible for managing museums, collections
		and archives).
7.12	Conference facilities	
7.13	Advice and guidance	
7.14	Media releases	

Appendix 2

GUIDE TO DOCUMENTS AVAILABLE UNDER THE PUBLICATION SCHEME (all requests via the Clerk to the Governors - see appendix 3)

This is not an exhaustive list but indicative of the types of document held. If a document you require is not shown in this guide please contact the Freedom of information Manager. Some information may in some circumstances be exempt from disclosure

Items with an asterisk * are posted on the College website at:

www.sfx.ac.uk

1 Wh	1 Who we are and what we do		
Sub c	lasses	Documents	
1.1	Legal Framework	 Instrument and Articles of Government 6th July 2015 Legal status - conferred by the Further and Higher Education Act 1992 http://www.legislation.hmso.gov.uk/acts.htm Charitable status - The college is an exempt charity under the powers conferred by the Further and Higher Education Act 1992 	
1.2	How the institution is organised	 College structure Charts Introduction to the College College Calendar College Policies* List of Governors* Register of Interests of Governors Governing Body and Committee structure chart* Standing Orders (Conduct of Business)* Terms of reference & membership of Governing Body and committees* 	



1.3	Lists of and information relating to organisations it works in partnership with and any companies	 SFX Corporate Services Ltd (wholly owned subsidiary) Ofsted DFE/ESFA Awarding Bodies Schools
	wholly or partially owned by it	• Employers
	2,11	Local Authorities
		Education Commission (Archdiocese of Southwark)
1.4	Location and contact details	Switchboard 020 8772 6000
	contact details	email : enquiries@sfx.ac.uk
		website (including staff contact details)
		www.sfx.ac.uk
		Address
		St Francis Xavier 6 th Form College 10 Malwood Road London SW12 8EN
1.5	Student activities	Student Council Constitution
		List of Officers
2 Wh	at we spend and how	we spend it
Sub c	lasses	Documents
		Annual Dudget as an ground by the Consum's De d
2.1	Funding/ income	 Annual Budget as approved by the Governing Body Annual audited financial statements* Contracting and tendering procedures
		Insurance policy
		Pension schemes Remuneration of conjugate past holders as published in appual associats*
		 Remuneration of senior post holders as published in annual accounts* Travel and subsistence rates*



2.2	Budgetary and account information; expenditure	 Annual audited financial statements* Annual budget as approved by the Governing Body Management accounts as reported to the Governing Body
2.3	Financial audit reports	 Annual financial statements and regularity audit reports incl trading subsidiary Annual summary of internal audit assignments Board Assurance Framework Financial Management & Control Evaluation (FMCE)
2.4	Capital programme	 Project Management Committee minutes reports to governors on progress of capital projects capital expenditure as approved by the Governing Body
2.5	Financial regulations and procedures	Financial regulations, including procurement policy*
2.7	Staff pay and grading structures	Salary grades & pay
2.10	Register of suppliers	Register of College suppliers
2.11	Procurement and tender procedures and reports	 Financial Regulations* Contracting and tendering procedures
2.12	Contracts	Reports to Governors' Committee on contracts valued over £50,000
3 Wh	at our priorities are ar	nd how we are doing
Sub cl	asses	Documents
3.1	Principal's Termly Report to Governors	Principal's termly reports to governors
3.3	Corporate and Business Plans	 Strategic / Development plan SFX Corporate Services Ltd plan
3.4	Teaching & Learning Strategy	 Student support and supervision arrangements (ALS) Tutorial management Lesson Observations procedure/ feedback



3.5	Academic Quality & Standards	 Annual Self Assessment Report (SAR) Accreditation and monitoring reports by professional, statutory or regulatory bodies Course Portfolio Learner Voice Surveys Assessment appeals
		 Course reviews Internal verification External Verifier report action plans Quality Strategy*
3.6	Most recent Ofsted inspection report	Ofsted Inspection Report : most recent report can be accessed via a link on our website <u>www.sfx.ac.uk</u>
3.8	External Review Information	List of external reviews and outcomes (reported to CMDC¹)
3.9	Corporate Relations	Marketing strategy
3.10	Government & Regulatory Reports	
4 Hov	we make decisions	
Sub cl	lasses	Documents
4.1	Minutes, agendas and papers from governing body,	Minutes* and papers of Governing Body meetings and committee meetings etc. Remuneration Committee minutes are confidential due to Data Protection and Commercial Sensitivity issues. Very occasionally papers presented to or minutes of other
	council, academic boards and committees	the date of review or release.
4.2	boards and	
4.2	boards and committees Teaching and learning committee	

 $^{^{1}}$ CMDC = College Management and Development Committee Updated Jan 2013, April 2014 & Nov 2017



Sub c	lasses	Documents
5.1	Policies and procedures for conducting college business	 College Policies* Financial Regulations Committees' terms of reference
5.2	Procedures and policies relating to academic services	 Examination management Examinations special arrangements College Prospectuses Student Handbook External examination bodies regulations
5.3	Procedures and policies relating to student services	 Student Handbook including: Attendance & Punctuality Careers services Data Protection Equal opportunities e-Safety Facilities and environment Finance at SFX (including Bursaries) Health Safety and Security Internet rules Mission statement Procedure & Guidelines Public examinations guidelines SFX Restraint, Screen, Search & Confiscation Policy Sports and recreational facilities Student Code of Conduct Student guidelines: SMART targets Student Welfare The Learning Agenda & Learning Agreements Use of computers and IT equipment Use of the Learning Resource Centre



ЕЛ	Procedures and	Appointment Dresedures
5.4		Appointment Procedures Appointment Procedures
	policies relating to human resources	Appraisal Policy (Performance Review procedure)
	numan resources	Capability Procedure Capability Procedure
		DBS (CRB) Policy
		Data Protection
		Disciplinary Appeals Procedure
		Disciplinary Procedure
		Equal Opportunities Statements
		Governors Training and Development Policy *
		Grievance Procedure
		Harassment and bullying policy
		Health and safety policy*
		Ill Health Retirement Procedure
		Induction procedure
		Investors in People Assessment report
		Job Descriptions Statement
		Local recognition and procedural agreement
		Probationary procedures
		Public interest disclosure (for compliance with the Public Interest Disclosure Act)
		Sickness Absence/Leave Policy
		Staff disability procedure
		Staff Training and Development Policy
		Terms and conditions of employment
	B	
5.5	Procedures and	Recruitment Policies and procedures
	policies relating to recruitment	
F. C		
5.6	Code of Conduct	Code of Conduct for members of governing body*
	for members of	
F 7	governing bodies	Disability For all D. I. W
5.7	Equality and	Disability Equality Duty* Delta Delt
	Diversity	Equal Opportunities & Diversity Policy*
		Gender Equality Duty* Description: Output Description:
		Race Equality Policy*
		Single Equality Duty
5.8	Health and Safety	Health & Safety Policy*
F 0	Fatata	
5.9	Estate	Property strategy and plan
	management	Tendering policies
		Disposal policies



5.10	Complaints policies and procedures Records management and	 Student Complaints Procedure* Community Education Complaints Procedure * Staff Grievance Procedure Complaints against the Governing Body (in Conduct of Business)* Complaints about the Freedom of Information procedures (Publication Scheme)* IT Security policies Data retention and archive policy
	personal data policies	 Data protection policy Access to information/ publication scheme*
5.12	Charging regimes and policies	 Tuition Fees Policy set out in prospectuses including * Information for home/EU students Information for international students (n/a) Adult / Community Education course fees Information on other charges*

6 Lists and Registers

We expect this to be information contained only in currently maintained lists and registers.

Sub classes		Documents	
6.1	Any information we are currently legally required to hold in publicly available registers	 Register of Interests for governors Register of Interests for budget-holding staff 	
6.2	Asset registers	Asset register	
6.3	ССТУ	 SFX CCTV Policy Security Procedures relating to the use of CCTV 	
6.4	Disclosure logs	Freedom of Information/ Data Protection Requests log	
6.6	Senior staff's declaration of interest	•	
6.7	Register of gifts and hospitality provided to senior staff	•	



7 The	services we offer		
Sub cl	asses	Documents	
7.1	Prospectus and Course content	 Course leaflets* SFX Prospectus* including: Term dates Structure of courses Qualification gained Changing courses Work experience Community Education Prospectus* including: Term dates Course fees Course descriptions Enrolment procedures and policies including refunds & loyalty discount policy 	
7.3	Health including medical services	 Student Handbook Health & Safety Guide for Students Staff induction materials and department handbooks 	
7.5	Funding, such as grants, bursaries and free meals available to students from the College		
7.6	Careers Advice	Student Handbook	
7.7	Chaplaincy Services and multi-faith provision	SFX Chaplaincy *	
7.8	Services for which the College is entitled to recover a fee (together with those fees)	 Examination Retake Fees Additional Course Costs (if applicable) 	
7.6	Sports & recreational facilities	Student HandbookStaff Handbooks	
7.11	Museums, libraries, special collections and archives	Library catalogues	
7.12	Conference facilities	• <u>hire.sfx.ac.uk</u>	
7.13	Advice and guidance	Student Handbook	



7.14	Media releases	Press releases / newsletters

APPENDIX 3

St Francis Xavier College

Freedom of Information Act 2000 Information Access Request Form

Please read carefully the College Access to Information Policy/ Publication Scheme BEFORE you complete this form. It explains in detail the nature of Freedom of Information Requests and tells you what is expected from you AND what you should expect from us.

Parts 1, 2, 3 and 4 must be completed as fully as possible.

1. Personal details of the applicant

Title (Mr/Mrs/Miss/Ms)			
First Name	Surname		
Address			
Telephone	E-mail address		

2. Details of the information required, continue on separate sheet if necessary.

(Please provide as much detail about the information you would like to have and to be as concise and as clear as possible in order that we can identify and process your request promptly. Any vagueness or ambiguity in your request will delay the processing).



information which would help us process your request e.g. your preferred format of the information requested, contact details of staff who may have your requested information, number of copies you would like etc)
4. Data Protection Notice – The personal details you have provided to St Francis Xavier College on this form will be used to process your request for information. These will also be used to keep a register of requests so we can monitor our responses, ensure consistency and analyse trends. We will not disclose your details to any external third parties without your consent.
Signature Date
Important note – if the information you have asked for requires a fee, we will let you know on receipt of your request. If you choose to accept this fee charge, we will process your request upon receipt of payment
Please send your completed request form to:
Eleanor Lewis Clerk to the Governors
St Francis Xavier College 10 Malwood Road London SW12 8EN

